

#13  
2-2004  
B.S.H

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: 10/029,425  
Applicant: M. Oshima et al.  
Filed: December 7, 2001  
Title: MARK FORMING APPARATUS, METHOD OF FORMING LASER  
MARK ON OPTICAL DISK, REPRODUCING APPARATUS, OPTICAL  
DISK AND METHOD OF PRODUCING OPTICAL DISK  
TC/A.U.: 2134  
Confirmation: 8994  
Examiner: P. E. Callahan

RECEIVED

MAY 23 2003

**SUPPLEMENTAL**  
**INFORMATION DISCLOSURE STATEMENT**

Technology Center 2100

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following Japanese reference is not in the English language:

1) 04-338872

Reference 1) includes an English language abstract.

More than three months have elapsed since the filing of the above-referenced application and a first (non-Final) Official Action has been received. No Final Action or Notice of Allowance has yet been received and it is presumed that

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none has yet been mailed. Accordingly, the required fee set forth in 37 C.F.R. § 1.17(p) is provided herewith.

The enclosed European Office Action also cites NL-A-9101358, which was already cited in the original prosecution.

**STATEMENT**

The undersigned hereby states that Reference EP 0549488 disclosed in this Supplemental Information Disclosure Statement was first cited in any communication (i.e., European Office Action) from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

Respectfully Submitted,

  
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Allan Ratner, Reg. No. 19,717  
Attorney for Applicants


AR/fp

Enclosures: PTO Form 1449  
Copies of (#9) References  
Copy of European Office Action  
Check

Dated: May 23, 2003

P.O. Box 980  
Valley Forge, PA 19482-0980  
(610) 407-0700

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/020,425
	Filing Date	December 7, 2001
	First Named Inventor	M. Oshima et al. <b>RECEIVED</b>
	Art Unit	2134
	Examiner Name	P. E. Callahan <b>MAY 23 2003</b>
Total Number of Pages in This Submission 126	Attorney Docket No.	MTS-520US2 <b>Technology Center 2100</b>

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s)  <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> <b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b> <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>PTO Form 1449, European Office Action and 9 references</b>
<b>Remarks:</b>		
<b>SIGNATURE OF APPLICANT, ATTORNEY OR AGENT</b>		
Firm or Individual	Allan Ratner	
Signature		
Date	May 23, 2003	

CERTIFICATE OF TRANSMISSION / MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:			
Name (Print/Type)		Registration No. (Attorney/Agent)	
Signature		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option